



INFORMATION DISCLOSURE STATEMENT

Applicant : Jonathan Kagan et al.
App. No. : 10/698,148
Filed : October 31, 2003
For : APPARATUS AND METHODS FOR
TREATMENT OF MORBID OBESITY
Examiner : Unknown
Group Art Unit : 3762

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 34 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

Identification herein is not an admission that any of the foregoing are prior art to the above captioned application.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: _____

4/28/04

By: _____

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
VALTX.001AAPPLICATION NO.
10/698,148INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Jonathan Kagan et al.FILING DATE
October 31, 2003GROUP
3762

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,006,747	02/1977	Kronenthal et al.			
	2	4,134,405	01/1979	Smit			
	3	4,235,238	11/1980	Ogiu et al.			
	4	4,315,509	02/1982	Smit			
	5	4,501,264	02/1985	Rockey			
	6	5,085,661	02/1992	Moss			
	7	5,306,300	04/1994	Berry			
	8	5,820,584	10/1998	Crabb			
	9	5,861,036	01/1999	Godin			
	10	6,254,642 B1	07/2001	Taylor			
	11	6,264,700 B1	07/2001	Kilcoyne et al.			
	12	6,387,104 B1	05/2002	Pugsley, Jr. et al.			
	13	6,447,533 B1	09/2002	Adams			
	14	6,595,911 B2	07/2003	LoVuolo			
	15	2001/0020189 A1	09/2001	Taylor			
	16	2001/0020190 A1	09/2001	Taylor			
	17	2002/0082621 A1	06/2002	Schurr et al.			
	18	2002/0183768 A1	12/2002	Deem et al.			
	19	2002/0188354 A1	12/2002	Peghini			
	20	2003/0040804 A1	02/2003	Stack et al.			
	21	2003/0040808 A1	02/2003	Stack et al.			
	22	2003/0065359 A1	04/2003	Weller et al.			
	23	2003/0093117 A1	05/2003	Saadat			
	24	2003/0109892 A1	06/2003	Deem et al.			
	25	2003/0109931 A1	06/2003	Geitz			
	26	2003/0199989 A1	10/2003	Stack et al.			
	27	2003/0199990 A1	10/2003	Stack et al.			

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. VALTX.001A		APPLICATION NO. 10/698,148	
	APPLICANT Jonathan Kagan et al.			
	FILING DATE October 31, 2003		GROUP 3762	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	28	2003/0199991 A1	10/2003	Stack et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	29	WO 80/00007	01/1980	WIPO				
	30	WO 03/086246 A1	10/2003	WIPO				
	31	WO 03/086247 A1	10/2003	WIPO				
	32	WO 03/094785 A1	11/2003	WIPO				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	33	<i>Antireflux operations at flexible endoscopy using endoluminal stitching techniques: an experimental study</i> , Sritharan S. Kadiramanathan et al., <i>Gastrointestinal Endoscopy</i> , Volume 44, No. 2, 1995 pp. 133-143
	34	<i>Endoscopic suturing</i> , C. Paul Swain MD, <i>Balliere's Clinical Gastroenterology</i> , Vol. 13, No. 1. pp 97-108, 1999

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	